Serial No. 09/830,005 - Kaschke, et al.

0.3 2009

Intl. Filing Date:

None

3652

PATENT APPLICATION THE UNITED STATES PATENT AND TRADEMARK OFFICE

Donald W. Underwood (703) 308-1112 (ph)

Kevin D. Kaschke, Phillip G. Spaniol, and Steven J. Spaniol Applicants: Docket No.: FT0002R

Intl. Appl. No.: PCT/US98/18376

Priority Date: 23 October 1998 Group Art Unit:

09/830,005 Nat'l. Appln. No.: 21 April 2001 Nat'l. Filing Date:

Agricultural Bale Accumulator And Method Therefor Entitled:

> Kevin D. Kaschke 3105 King Alford Court St. Charles, IL 60174

January 30, 2009

Petition for Request for Withdrawal of Holding of Abandonment pursuant to 37 CFR 1.181(a) and pursuant to MPEP 711.03(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

- Responsive to the Notice of Abandonment dated December 23, 2008 for the above-A. identified patent application, the applicants hereby submit a Petition for Request for Withdrawal of Holding of Abandonment pursuant to 37 CFR 1.181(a) and pursuant to MPEP 711.03(c).
- The Applicant submits that the patent application is not in fact abandoned because the B. Applicant timely mailed a reply to the Office Action dated May 29, 2008.
- Pursuant to 37 CFR 1.8(b), the Applicant submits that the reply is timely filed by being C. mailed in accordance with 37 CFR 1.8(a), but was not received in the U.S. Patent and Trademark Office, after a reasonable amount of time has elapsed from the time of mailing of the reply, and after receiving the Notice of Abandonment mailed December 23, 2008.
- Pursuant to 37 CFR 1.8(b), the Applicant's reply is considered timely, because the C. undersigned party, Kevin D. Kaschke, who forwarded the correspondence:
 - (1) Informed the Office of the previous mailing of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence, by this written Petition, and by a telephone call to Examiner Underwood, at 571-272-

- 6933, on Thursday, January 29, 2009, who confirmed that the correspondence has not been received.
- (2) Supplied an additional copy of the previously mailed correspondence and certificate, each dated December 1, 2008 (individually hereinafter referred to as the "Correspondence" and the "Certificate" and together referred to as the "Mailing") in reply to the Office Action dated May 29, 2008, as enclosed herewith.
- (3) Included a statement, as follows:

Statement pursuant to 37 CFR 1.8(b)(3)

- 1. The undersigned, Kevin D. Kaschke, attests on a personal knowledge basis, that the previous Mailing was timely mailed.
- 2. Kevin D. Kaschke personally prepared the Mailing in reply to the Office Action dated May 29, 2008, as follows:
 - a. The Mailing included: the Certificate (1 pg.), a Request for Continued Examination (RCE) Transmittal (1 pg.), a fee (Check) (1pg.), a Petition for an Extension of Time (1 pg.), a Request for Continued Examination (RCE) Letter (2 pgs.), General Transmittal Form (1 pg.), an Amendment And Response (21 pages), and a Return Receipt Postcard (1 pg.), for a total submission of 29 pages.
- 3. Kevin D. Kaschke personally mailed the Mailing with the United State Post Service (hereinafter the "USPS"), as follows:
 - a. Kevin D. Kaschke hand delivered the Mailing to a postal clerk at an office of the USPS, located at 616 E. Main St., Saint Charles, IL 60174-2165, on December 1, 2008.
 - b. Kevin D. Kaschke witnessed the postal clerk determine a final mailing price for the Mailing of \$1.68 based on a zip code of 22313 for Alexandria, VA, zone 4, first class, a large envelope, and a weight of 5.2 oz., as indicated on a USPS sales receipt.
 - c. Kevin D. Kaschke witnessed the postal clerk process a USPS Certificate of Mailing for Kevin D. Kaschke at an additional charge of \$1.10.
 - d. Kevin D. Kaschke received from the postal clerk of the USPS a sales receipt in an amount of \$2.78 (i.e., \$1.68 + \$1.10) (hereinafter the "Sales Receipt").
 - e. Kevin D. Kaschke witnessed the postal clerk of the USPS print and adhere a postage label, representing the calculated postage of \$1.68, on the envelope of the Mailing.
 - f. Kevin D. Kaschke paid the amount of \$2.78 on the Sales Receipt by a Discover credit card, as indicated on the sales receipt.
 - g. Kevin D. Kaschke left the Mailing in the possession of the postal clerk with a reasonable basis for expecting that the USPS would mail the Mailing on December 1, 2008.

- 4. Kevin D. Kaschke submits, as evidence that the previous Mailing was timely mailed, a true and exact copy of the following papers:
 - a. The <u>Certificate of Mailing under 37 CFR 1.8</u>, prepared, signed, and mailed by Kevin D. Kaschke on Monday, December 1, 2008, providing evidence that the Mailing in fact was mailed by Kevin D. Kaschke. The Applicant submits <u>three different versions</u> of the Certificate of Mailing under 37 CFR 1.8, as follows:
 - 1) A separate letter prepared by the Applicant in the Mailing.
 - 2) At the bottom of the Transmittal Form PTO/SB/21 in the Mailing.
 - 3) At the bottom of the RCE Transmittal Form PTO/SB/30 in the Mailing.
 - b. The <u>Certificate of Mailing from the United State Post Service</u>, dated December 1, 2008, providing independent third party evidence that the Mailing in fact was received by the USPS for mailing.
 - c. The <u>Sales Receipt from the United State Post Service</u>, dated December 1, 2008, at a time of 12:57:08 PM, providing independent third party evidence that the USPS in fact received, weighed, processed a USPS Certificate of Mailing for, and received payment for the Mailing, as described under points 3a to 3g herein above of this Statement.
 - d. Kevin D. Kaschke's <u>Discover Card Statement of Charges</u> having a closing date of December 13, 2008, and stating a charge for "Government Services" having a posted and transaction date of December 1, 2008, by "USPS 1615400175 ST CHARLES IL" for an amount of \$2.78, corresponding to the total amount due on the Sales Receipt.
- 5. Other evidence that may have proved that the USPTO at least received the Mailing, and that would have provided prima facie evidence that the Mailing was timely filed, does not appear to be available, for the following reasons:
 - a. Kevin D. Kaschke has not received the Return Receipt Postcard, which was enclosed in the Mailing. A <u>copy of the Return Receipt Postcard</u>, however, is enclosed herewith, as evidence that it was included in the Mailing.
 - b. Kevin D. Kaschke verified that Check No. 1247, which was enclosed in the Mailing, has not been cashed. A copy of the Check No. 1247, however, is enclosed herewith, as evidence that it was included in the Mailing.
 - c. Kevin D. Kaschke submits that the entire Mailing may be lost, misplaced, or significantly delayed with the USPS or with the USPTO, considering that the Return Receipt Postcard has not been returned by the USPTO and that the USPTO has not cashed the Check.
- 6. In summary, Kevin D. Kaschke submits that the six pieces of submitted evidence described herein and underlined above under points 4 and 5 of this Statement, individually and/or in combination, provide sufficient evidence that the Mailing was timely mailed.
- 7. Pursuant to MPEP 512, this Statement not required to be verified.

- D. This Petition is timely filed on Friday, January 30, 2009, under the Certificate of Mailing pursuant to 37 CFR 1.8, within the two month, not extendable, statutory time period set for reply pursuant to 37 CFR 1.181(f), which began on the mailing date of December 23, 2008 of the Notice of Abandonment, and which expires two months thereafter on Monday, February 23, 2009.
- E. This Petition does not require a fee, pursuant to MPEP 711.03(c), under comment "I" (Petition to Withdraw Holding of Abandonment), paragraph one.
- F. The Applicant respectfully requests that the Office withdraw the holding of abandonment, and enter for consideration the amendment and response submitted on December 1, 2008, of which a copy is enclosed herewith.
- G. The Applicant hereby submits a new check in an amount of \$1096.00 for the fees associated with the Mailing. Kevin D. Kaschke will instruct his bank, Bank of America, to stop payment on the Check No. 1247 previously sent with the Mailing, as a safety precaution against potential check fraud or potential duplicate payment, as it appears that the Mailing may be lost or misplaced.
- H. Any inquiry related to this response should be communicated to Kevin D. Kaschke at the address and phone number, and as noted herein.

Respectfully submitted,

Kaschke, et al.

January 30, 2009

Kein D. Kaschke

Kevin D. Kaschke

Applicant/Inventor/Attorney

Registration No. 35,767

Phone: (630) 377-6759



Notice of Abandonment

Application No.	Applicant(s) SPANIOL ET AL.	
09/830,005		
Examiner	Art Unit	<u> </u>
Donald Underwood	3652	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-

The MAILING DATE of this communication appears on the cover sheet with the correspondence address-
This application is abandoned in view of:
 Applicant's failure to timely file a proper reply to the Office letter mailed on 29 May 2008. (a) A reply was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply (including a total extension of time of month(s)) which expired on (b) A proposed reply was received on, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114). (c) A reply was received on but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-
final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
(d) ☑ No reply has been received.
 2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). (a) The issue fee and publication fee, if applicable, was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
(b) ☐ The submitted fee of \$ is insufficient. A balance of \$ is due.
The issue fee required by 37 CFR 1.18 is \$ The publication fee, if required by 37 CFR 1.18(d), is \$
(c) ☐ The issue fee and publication fee, if applicable, has not been received.
3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
(a) Proposed corrected drawings were received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply.
(b) No corrected drawings have been received.
4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. The decision by the Board of Patent Appeals and Interference rendered on and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. The reason(s) below:
/03/2009 HVUDNG1 00000080 09830005
FC:2255 1096.00 gp
/Donald Underwood/ Primary Examiner, Art Unit 3652
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to

PTO/SB/21 (01-09) Approved for use through 02/28/2009. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rk Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/830,005 **TRANSMITTAL** Filing Date April 4, 2001 First Named Inventor **FORM** Kaschke, et al Art Unit 3562 **Examiner Name** Underwood (to be used for all correspondence after initial filing) Attorney Docket Number FT0002R Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify **Extension of Time Request** Terminal Disclaimer below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ 1. Present Mailing: 10 pages including: Cert. of Mailling (1pg), Discover Stmt (1pg), USPS Incomplete Application Sales Receipt (1/2pg), USPS Cert. of Mailing (1/2pg), New Fee (Check) for previous response Reply to Missing Parts (1pg), Return postcard (1pg). under 37 CFR 1.52 or 1.53 2. Copy of previous Mailing dated and mailed Dec. 1, 2008: 29 pages. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature eum a. Karchke

CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:					
Signature	Herin & Hases	hke			
Typed or printed name	Kevin D. Kaschke	Date	January 30, 2009		

Reg. No.

35,767

Printed name

Date

Kevin D. Kaschke

January 30, 2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Donald W. Underwood

(703) 308-1112 (ph)

Applicants:

Kevin D. Kaschke, Phillip G. Spaniol, and Steven J. Spaniol

Intl. Appl. No.:

PCT/US98/18376

Docket No.: FT0002R

Intl. Filing Date: Nat'l. Appln. No.:

23 October 1998

Priority Date: None Group Art Unit: 3652

Nat'l. Filing Date:

09/830,005 21 April 2001

Entitled:

Agricultural Bale Accumulator And Method Therefor

Kevin D. Kaschke 3105 King Alford Court St. Charles, IL 60174

January 30, 2009

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

> **Mail Stop Petition Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

on Friday, January 30, 2009, by Kevin D. Kaschke.

This certificate applies to the following papers mailed herewith:

- 1. The present mailing including: this Certificate (1 pg.), a Petition for Request for Withdrawal of Holding of Abandonment (4 pgs.), a general transmittal form (1 pg.), a fee payment in the form of a check (1pg.), USPS Certificate of Mailing (1/2 pg), USPS sales receipt (1/2 pg.), Discover Company statement (1 pg.) and a return receipt post card (1 pg.). Total pages: 10.
- 2. A copy of a previous mailing on December 1, 2008 including: this Certificate (1 pg.), a Request for Continued Examination (RCE) Transmittal (1 pg.), a fee (check) (1pg.), a Petition for an Extension of Time (1 pg.), a Request for Continued Examination (RCE) Letter (2 pgs.), general transmittal form (1 pg.), an amendment and response (21 pages), and a return receipt post card (1 pg.). Total pages: 29. (Note the Check and the postcard copies are on one page now.)

Respectfully submitted,

Kaschke, et al.

kevin D. Kaschke

Attorney/Applicant/Inventor Registration No. 35,767

Phone: (630) 377-6759

ST CHARLES POSTAL RETAIL STORE ST CHARLES, Illinois 601742164

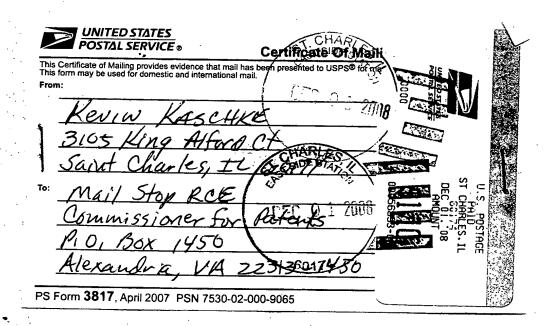
1615400175-0095 12/01/2008 (800)275-8777 12:57:08 PM

Sales Receipt Final Sale Unit Product Price Qty Price Description \$1.68 ALEXANDRIA VA 22313 Zone-4 First-Class Large Env 5.20 oz. \$1.68 Issue PVI: \$1.10 \$1.10 Certificate of Mailing \$2.78

Total: \$2.

Approval #: 001693 Transaction #: 213 239033321951602870576

Order stamps at USPS.com/shop or call 1-800-Stamp24. Go to USPS.com/clicknship to print shipping labels with postage. For other information call



\$2.78





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Closing Date: December 13, 2008

page 2 of 2

Warehouse Clubs

Dec 11 Dec 11

Total of New Act

KASCHKE, KEVIN D

Account number ending in Tra

Nov 26 Nov 26

Services Dec

Dec 3 Dec 3

Supermarkets
Government Services

Dec 9 Dec 9

Dec 1 Dec 1 USPS 1615400175 ST CHARLES IL

Bust

2.78

Total of New Activity for KASCHKE, KEVIN D

296.73

Information For You

Save on holiday Discover Gift Cards for employees, clients and family. Finish your holiday shopping and SAVE \$2 on every gift card you purchase. Just enter promotion code GIFT at checkout. Choose any dollar amount from \$20 to \$500 - and shipping is FREE! Good for purchases at millions of locations. Visit DiscoverGiftCard.com/freeshipping

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rai No. 09/830,005 - Phillip G. Spaniol, et al.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Donald W. Underwood

(703) 308-1112 (ph)

Kevin D. Kaschke, Phillip G. Spaniol, and Steven J. Spaniol FT0002R

Applicants: Docket No.: PCT/US98/18376 Intl. Appl. No.:

Priority Date: None 23 October 1998 3652 Group Art Unit:

09/830,005 Nat'l. Appln. No.: 21 April 2001 Nat'l. Filing Date:

Intl. Filing Date:

Agricultural Bale Accumulator And Method Therefor Entitled:

> Kevin D. Kaschke 3105 King Alford Court St. Charles, IL 60174

December 1, 2008

Certificate of Mailing Under 37 CFR 1.18

Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

> Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on Monday, December 1, 2008, by Kevin D. Kaschke.

This certificate applies to the following papers mailed herewith:

this Certificate (1 pg.), a Request for Continued Examination (RCE) Transmittal (1 pg.), a fee (check) (1pg.), a Petition for an Extension of Time (1 pg.), a Request for Continued Examination (RCE) Letter (2 pgs.), general transmittal form (1 pg.), an amendment and response (21 pages), and a return receipt post card (1 pg.).

Total pages submitted herewith including this page: 29 pages, including this page.

Respectfully submitted, Kaschke, et al.

Q-Karkle Kevin D. Kaschke

Attorney/Applicant/Inventor Registration No. 35,767

Phone: (630) 377-6759

7.50

Signature

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Typed or printed name

PTO/SB/21 (10-08) Approved for use through 11/30/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons Application Number Filing Date TRANSMITTAL First Named Inventor **FORM** Art Unit underwood Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number FTO OOZR Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Appeal Communication to Board of Appeals and Interferences Licensing-related Papers Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request // Da Request for Refund Express Abandonment Request CD. Number of CD(s) information Disclosure Statement Landscape Table on CD Certified Copy of Priority Post card receipt (1pg)
RCE Transmittal (1pg)
RCE Letter (2pgs)
Cert of Mailwr (1pg) Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Printed name Reg. No. Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the complete dapplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the complete dapplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the gathering, preparing, and submitting the complete dapplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the uspect of the complete this form and/or suggestions for reducing this burner.

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Date

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/30 (10-08)

Under the Paperwork Reduction Act of 1995, no persons are requ		PTO/SB/30 (10-08) proved for use through 11/30/2008. OMB 0651-0031 demark Office; U.S. DEPARTMENT OF COMMERCE nation unless it contains a valid OMB control number.
Request	Application Number	09/830,003
for	Filing Date	04-21-2001
Continued Examination (RCE) Transmittal	First Named Inventor	KASCHKE, ctal
Address to:	Art Unit	3562
Mail Stop RCE Commissioner for Patents	Examiner Name	Underwood
P.O. Box 1450 Alexandria VA 22313-1450	Attorney Docket Numbe	FroozR
This is a Request for Continued Examination (RCE) Request for Continued Examination (RCE) practice under 37 1995, or to any design application. See Instruction Sheet for F 1. Submission required under 37 CFR 1.114 amendments enclosed with the RCE will be entered in applicant does not wish to have any previously filed unamendment(s).	RCEs (not to be submitted to the L Note: If the RCE is proper, any pre the order in which they were filed entered amendment(s) entered, a	ISPTO) on page 2. viously filed unentered amendments and unless applicant instructs otherwise. If pplicant must request non-entry of such
amendment(s). Previously submitted. If a final Office action considered as a submission even if this box i. Consider the arguments in the Appeal li. Other b. Enclosed	is not diecked.	
b. Other	iv. Other _ ed application is requested under : ension shall not exceed 3 months; Fee	under 37 OFA 1.17(t) required)
a. Deposit Account No. RCE fee required under 37 CFR 1.17	e the following fees, any underpayor (e) \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\). New dep claim (26)
	ICANT, ATTORNEY, OR AGENT	REQUIRED
Signature Kenn W.	with	Date Dec. 1, 200 Registration No. 35-767
Name (Print/Type) Keviw D. KA	E OF MAILING OR TRANSMISSI	
L hereby certify that this correspondence is being deposited with the addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box Office on the date shown below.	United States Postal Service with suffic 1450, Alexandria, VA 22313-1450 or fa	vient protone se first class mail in an envelope
Name (Print/Type) This collection of information is required by 37 CFR 1.114. The infort to process) an application. Confidentially is governed by 35 U.S.C. including gathering, preparing, and submitting the completed application the amount of time you require to complete this form and/or suggest Trademark Office, U.S. Department of Commerce, P.O. Box 1450 ADDRESS, SEND TO: Mail Stop RCE, Commissioner for I	mation is required to obtain or retain a 122 and 37 CFR 1.11 and 1.14. This ation form to the USPTO. Time will vary tions for reducing this burden, should by Alexandria, VA 22313-1450. DO N	benefit by the public which is to file (and by the USF collection is estimated to take 12 minutes to comply depending upon the individual case. Any comments set to the Chief Information Officer, U.S. Patent OT SEND FEES OR COMPLETED FORMS TO TIPIN, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

erial No. 09/830,005 - Kaschke, et al.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Donald W. Underwood

(703) 308-1112 (ph)

Applicants: Kevin D. Kaschke, Phillip G. Spaniol, and Steven J. Spaniol

Docket No.: FT0002R

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Intl. Filing Date: 23 October 1998

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Entitled: Agricultural Bale Accumulator And Method Therefor

Kevin D. Kaschke 3105 King Alford Court St. Charles, IL 60174

December 1, 2008, 2008

Request for Continued Examination (RCE) under 37 CFR 1.114

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Responsive to the Final Rejection dated May 29, 2008 for the above-identified patent application, the applicants hereby submit the present Request for Continued Examination (RCE) under 37 CFR 1.114, and MPEP 706.07(h).

Verification of a proper RCE filing under MPEP 706.07(h), III (Initial Processing):

- (A) The present RCE is filed on November 28, 2008, which is after May 29, 2000.
 - (B) The present application was filed on April 21, 2001, which is after June 8, 1995.

(C) The present application is a utility application.

- (D) The present application is pending because the present RCE is filed after the Final Rejection was received but before a patent issued or became abandoned.
- (E) The prosecution in the present application is closed because the last Office Action was a Final Rejection.

(F) The present RCE is filed before the payment of the issue fee.

(G) The present RCE is accompanied by the proper fee(s), including the RCE fee under 37 CFR 1.17(e) of \$405.00, plus a three month extension of time under 37 CFR 1.17(a)(3) of \$555.00, plus one additional independent claim (\$110), and one additional dependent claim (\$26), for a total fee of \$1096.00.

Serial No. 09/830,005 - Kaschke, et al.

(H) The present RCE includes a submission, as required by 37 CFR 1.114, in the form of an amendment to the claims, pursuant to 37 CFR 1.114(c).

As explained under MPEP 706.07(h), III, D (Treatment of Proper RCE), "the applicant does not need to pay a fee for excess claims previously paid for prior to filing the RCE. Of course, new claims in excess of the number previously paid for, which are filed with the RCE or the course, will require payment of the appropriate fee(s) under 37 CFR 1.16." The applicant has thereafter, will require payment of the appropriate fee(s) under 37 CFR 1.16." The applicant has not submitted any new claims in excess of those claims previously paid for before filing the RCE. Hence, no claim fee is required.

Since the present RCE, including the fee and the submission, is filed in a pending application before payment of the issue fee, a petition under 37 CFR 1.313 to withdraw the application from issue is not required, pursuant to MPEP 706.07(h), IX.

This request and amendment is filed on Monday, December 1, 2008, under the Certificate of Mailing pursuant to 37 CFR 1.18, within the three month shortened statutory period set for reply in the Final Rejection dated May 29, 2007 plus a three month extension of time under 37 CFR 1.136(a), which expires Saturday, November 29, 2008, pursuant to MPEP 710.01(a).

The applicant respectfully requests that the Office withdraw the finality of the Notice of Allowance, and enter and consider the present amendment.

Any inquiry related to this response should be communicated to Kevin D. Kaschke at the address and phone number, and as noted herein.

Respectfully submitted,

Kaschke, et al.

By: Keim D. Kaselle

12-1-2008

Kevin D. Kaschke

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PTO/SB/22 (10-08)

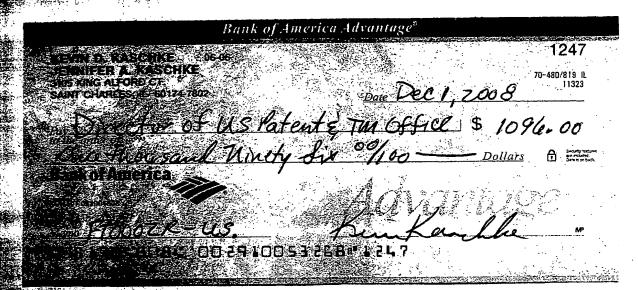
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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)	Docket Number (Optional)			
FY 2009	FTOGOZR			
(Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).)				
Application Number 09/830, 005	Filed 04-21-2001			
For Agricultural Bake Accumulator &	Method There tor			
Art Unit 3562	Examiner WN REN WOOR			
This is a request under the provisions of 37 CFR 1.136(a) to extend the period application.	•			
The requested extension and fee are as follows (check time period desired a	Small Entity Fee			
Fee	\$65 \$			
One month (37 CFR 1.17(a)(1)) \$130				
Two months (37 CFR 1.17(a)(2)) \$490	\$245 \$ \$555 \$_557.00			
Three months (37 CFR 1.17(a)(3)) \$1110	\$555			
Four months (37 CFR 1.17(a)(4)) \$1730	\$865 \$			
Five months (37 CFR 1.17(a)(5)) \$2350	\$1175			
Applicant claims small entity status. See 37 CFR 1.27.				
A check in the amount of the fee is enclosed.				
Payment by credit card. Form PTO-2038 is attached.				
The Director has already been authorized to charge fees in this application to a Deposit Account.				
The Director is hereby authorized to charge any fees which may	be required, or credit any overpayment, to			
Denosit Account Number				
WARNING: Information on this form may become public. Credit card information and authorization on PTO-2038.	nation should not be included on this form.			
I am the pplicant/inventor.				
assignee of record of the entire interest. See 37 C	FR 3.71			
Statement under 37 CFR 3.73(b) is enclosed (Form P10/36/96).			
attorney or agent of record. Registration Number 35, 767				
attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34				
1 - 10 - 11	Der. 1.2008			
Signature	Date			
VOLLA DI KASCHKE	630-377-6759			
Typed or printed name	Telephone Number			
NOTE: Signatures of all the Inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than on algorithm is required, see below.				
forms are submitted.	the state of the s			
This collection of information is required by 37 CFR 1.138(a). The information is required to obtain USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 complete, including gathering, preparing, and submitting the completed application form to the USI comments on the amount of time you require to complete this form and/or suggestions for reducing U.S. Patent and Tradement Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VAFORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VAFORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VAFORMS TO THIS ADDRESS.	PTO. Time will vary depending upon the individual case. Any this burden, should be sent to the Chief Information Officer, 22313-1450. DO NOT SEND FEES OR COMPLETED			

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Mailed: December 1, 2008, December 1, 2008

Docket No: FrooozR

Inventor: Kaschke, et al

Appln: 09/830,005 Filed: 04-21-2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Donald W. Underwood

(703) 308-1112 (ph)

Applicants: Kevin D. Kaschke, Phillip G. Spaniol, and Steven J. Spaniol

Intl. Appl. No.: PCT/US98/18376 Docket No.:

Intl. Filing Date:23 October 1998Priority Date:NoneNat'l. Appln. No.:09/830,005Group Art Unit:3652

Nat'l. Filing Date: 21 April 2001

Entitled: Agricultural Bale Accumulator And Method Therefor

Kevin D. Kaschke 3105 King Alford Court St. Charles, IL 60174 FT0002R

December 1, 2008

Amendment and Response

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

وبعقبه العالم

Responsive to the office action dated May 29, 2008 for the above-identified patent application, the applicant hereby submits the following amendment and response.

1. Application Status.

- a. Under the present Final Office Action, claims 1-35 are pending in the application.
 Previously, claims 36-60 have been withdrawn from consideration, without prejudice.
- b. Claims 1-15 and 17-30 are rejected.
- c. Claims 16 and 31-35 are objected to.

2. Examiner's rejection.

- a. The examiner rejected claims 1-15 and 17-30 under 35 USC 103(a) as being unpatentable over the known baler system disclosed by the applicants on page 1, lines 22-31 of their specification or in Lundahl, et al. in view of Van Eecke, et al. (U.S. Patent No. 4,955,774) and Vellidus, et al. and Hale, et al.
- b. The examiner states: "It would also have been obvious to replace the controls in the known baler system or in Lundahl with controls as claimed in view of the automatic controls in Vellius and Hale since it would involve only routine skill to replacement manual means with

mechanical or automatic means which accomplish the same result. See in re Venner, 120 USPQ 192." The examiner further states: "To computerize these functions would be obvious to an artisan in view of the teachings of Hale and Vellidus."

3. Examination Requirements

- a. Graham v. John Deere obviousness inquiry.
 - In making a Graham v. John Deere obviousness inquiry, the Examiner needs to:
 - (1) determining the scope and content of the prior art;
 - (2) ascertain the differences between the claimed invention and the prior art;
 - (3) resolve the level of ordinary skill in the pertinent art; and
 - (4) evaluate objective evidence relevant to the issue of obviousness, such as secondary considerations including commercial success, long-felt but unsolved need in the art, the failure of others to solve the problem solved by the inventors, unexpected results, etc.
- b. All claim limitations must be considered.

To support an obviousness rejection, MPEP §2143.03 requires "All words in a claim must be considered in judging the patentability of that claim against the prior art," and MPEP § 2141.02 requires consideration of the "[claimed] invention and prior art as a whole." Further, the Board of Patent Appeal and Interferences recently confirmed that a proper, post-KSR obviousness determination still requires the Office make "a searching comparison of the claimed invention – including all its limitations – with the teaching of the prior art." In re Wada and Murphy, Appeal 2007-3733, citing In re Ochiai, 71 F.3d 1565, 1572 (Fed. Cir. 1995) and CFMT v. Yieldup Intern. Corp., 349 F.3d 1333, 1342 (Fed. Cir. 2003). In sum, it remains well-settled law that an obviousness rejection requires at least a suggestion of all of the claim elements.

c. Articulated reasoning with some rational underpinning.

The examiner must provide a sufficient reason or explicit analysis of why the disclosures of the references should be combined." Ex Parte Erkey et al, Appeal 20071375, Decided May 11, 2007 An Examiner's "[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." (In re Kahn, 441 F. 3d 977, 988 (CA Fed. 2006), cited with approval in KSR Int'l v. Teleflex Inc., 127 S. Ct. 1727, 1741 (2007)) Unsupported assertions by the examiner are not adequate. For example, the Examiner may identify some teaching, suggestion, or motivation in the prior art that would have led one of ordinary skill to modify the prior art reference or to combine

prior art reference teachings to arrive at the claimed invention, without using the claimed invention as a template for a hindsight reconstruction analysis.

3. Applicant's Response.

- a. Graham v. John Deere obviousness inquiry.
 - 1) Determine the scope and content of the prior art.

The present application teaches on page 1, lines 22-31: "An agricultural baler ("baler") is a widely used piece of mobile equipment which collects and compresses the crop material as it travels over the ground to produce a compact unit of crop, commonly referred to as a bale. The baler may comprise a motorized machine driven by an operator or, alternatively, may comprise a wheeled frame adapted for traveling alongside or behind a tractor. Typically, a baler is a wheeled chassis adapted for hitched connection to a tractor to be towed in tandem behind the tractor. After the baler forms a bale, a cord, such as wire or twine, is tied around the bale to hold the bale together in its compressed form. The baler ejects the tied bales periodically from a bale chamber of a baler as the baler travels over the ground. Each ejected bale may be directly discharged either to a bale accumulator or to the ground for later pick up by a bale collector in order to make the harvesting of the crop material more efficient and to decrease manual labor. Bales provided by either the bale accumulator or the bale collector may then be deposited on a vehicle, such as a tractor trailer, for hauling to another location for storage." (herein referred to as the "Applicant's disclosed baler")

Lundahl, et al teaches: "A hay baler including a trailer frame with a hitch for attachment to a prime mover and support wheels. A crop pick-up unit moves cut crop into a material storage area, from where it is moved into a bale chamber and is compacted by a compression unit and tied with twine before being discharged to ground from the rear of the trailer frame." (Abstract)

Van Eecke, et al. teaches: "Agricultural balers are in common use and operate to pick-up and compress crop material, such as hay, straw, etc., into bales and wrap completed bales with wire or twine. Wrapped bales are ejected periodically from the bale chamber of a baler as the latter travels over the field and each bale, on being ejected, normally is discharged directly to the ground.

In order to make the overall baling and bale hauling operation more efficient, it has already been proposed to provide a bale accumulator which is arranged to receive successive bales as they are discharged from a baler and to accumulate the bales into a group or parcel and then discharge the parcel to the ground." (col. 1, lines 23-34)

Vellidus, et al. teaches: "a crop yield monitoring system and method which can be used during harvesting of a crop, such as peanuts, pecans, Vidalia onions, and others, which are transported into a collection basket in order that crop yield can be determined based on measuring mass changes of the collection basket. The invention provides crop yield mapping data for evaluating crop yield at locations in a site-specific farming area." (col. 4, lines 16-23) "The peanut pods are

removed from the plant vines." (col. 5, line 27) An air delivery system conveys the peanut pods to the storage or collection basket 40 disposed on the top of the combine. (col. 5, lines 42-44)

Vellidus, et al. further teaches: "Yield monitoring of forage was attempted and described by Wild et al. in "Automatic Data Acquisition on Round Balers", ASAE Paper #94-1582, St. Joseph, Mich. 1994. A round baler was equipped with load cells that monitored the weight of the entire machine as the forage was collected. Preliminary results showed that individual bale weights could be accurately determined under static conditions, but excessive noise severely limited real time yield measurements. The tractor and baler also were instrumented with accelerometers to monitor vibration. Acceleration thresholds were used to screen data for real-time measurement, but the signal noise remained a severe limitation to forage yield monitoring." (col. 1, lines 43-55)

Hale, et al. teaches "automatic scaling of a GPS field mapping system for an agricultural vehicle, such as a combine, planter or cultivator."

In summary of the cited references, Van Eecke, et al. and the Applicant's disclosure each teach a baler and a bale accumulator. Lundahl, et al teaches "a hay baler." Hale, et al. teaches "a field mapping system for an agricultural vehicle, such as a combine, planter or cultivator." Vellidus, et al. teaches "a crop yield monitoring system and method which can be used during harvesting of a crop, such as peanuts, pecans, Vidalia onions ..." using a combine, and a failed attempt of yield monitoring of forage with a round baler.

- 2) Ascertain the differences between the claimed invention and the prior art.
- a) The applicant submits that the <u>examiner's citation of Vellidus</u>, et al. is improper because Vellidus, et al. teaches away from the present invention as claimed. As quoted above, Vellidus, et al. teaches a <u>failed attempt at monitoring the yield of forage for a round baler</u> because excessive noise severely limited real time yield measurements and signal noise remained a severe limitation to forage yield measurements. (col. 1, lines 43-55)

Vellidus, et al. continues to teach: "The present invention provides a crop yield monitoring system and method based on measuring mass changes in a crop collection basket of a harvesting machine, such as a peanut combine, as a crop field is being harvested and including load cells supporting the collection basket and a data acquisition system for acquiring incremental load cell output and preferably using digital noise filtering to remove signal noise resulting from harmonic vibration of a one or more components of the harvesting machine, such as for example only, a straw walker mechanism of a peanut combine." (col. 3, lines 44-54) "The invention is advantageous to provide a crop yield monitoring system and method which can be used during harvesting of a crop, such as peanuts, pecans, Vidalia onions, and others, which are transported into a collection basket in order that crop yield can be determined based on measuring mass changes of the collection basket. The invention provides crop yield mapping data for evaluating crop yield at locations in a site-specific farming area. These advantages are obtained using load cells and other system components

mounted in a manner for ready retrofitting on existing combines and also as original equipment on new combines." (col. 4, lines 16-26)

Even after Vellidus, et al. describes their invention and advantages, as summarized above, Vellidus, et al. does not describe how their invention and advantages can be applied to solve the problems described with the round baler. The applicant submits that the problems with the round baler remain unsolved because the round baler does not "harvest a crop, such as peanuts, pecans, Vidalia onions, and others, which are transported into a collection basket in order that crop yield can be determined based on measuring mass changes of the collection basket," as described by Vellidus, et al. More specifically, the described round baler does not appear to have a "collection basket" to which the invention in Vellidus, et al. may be applied.

Therefore, the applicant submits that "a person of ordinary skill in the art" would not consider combining the teachings of Vellidus, et al. with the other cited references, because Vellidus, et al. explicitly teaches a failed attempt at monitoring the yield of forage for a round baler, and the solution in Vellidus, et al. does not appear to apply to a round baler.

Moreover, the applicant submits that "a person of ordinary skill in the art" would not consider combining the teachings of Vellidus, et al. with the other cited references to create the claimed invention, because none of the other cited references has a "collection basket," which is used by Vellidus, et al.

- b) Although Hale, et al. teaches "automatic scaling of a GPS field mapping system for an agricultural vehicle, such as a combine, planter or cultivator," the present invention does not claim "automatic scaling of a GPS field mapping system." Although Hale, et al. teaches a GPS receiver used for "an agricultural vehicle, such as a combine, planter or cultivator," Hale, et al. does not teach or suggest how, when, why, where, etc. the GPS receiver may be used for the claimed agricultural bale accumulator.
- c) Neither Hale, et al. nor Vellidus, et al. teaches or suggests the claimed "agricultural bales of crop material." Therefore, Hale, et al. or Vellidus, et al. is not properly modifiable by either the Applicant's disclosed baler or Lundahl, et al teaching of "a hay bale" when its intended function is destroyed because of the difference in the crop material among the cited references.
- d) Neither Hale, et al. nor Vellidus, et al. teaches or suggests the claimed "an agricultural bale accumulator adapted to receive agricultural bales of crop material." Therefore, Hale, et al. or Vellidus, et al. is not properly modifiable by either the Applicant's disclosed baler or Lundahl, et al teaching of "a hay baler for producing hay bales" when its intended function is destroyed because of the difference in transporting the crop material among the cited references.
- e) Neither Hale, et al. nor Vellidus, et al. teaches or suggests the claimed: "crop material formed by and ejected from an agricultural baler." Therefore, Hale, et al. or Vellidus, et al. is not properly modifiable by either the Applicant's disclosed baler or Lundahl, et al teaching of "a

hay baler for producing hay bales" when its intended function is destroyed because of the difference in machinery forming and handling the crop material.

- f) Nowhere does Hale, et al., Vellidus, et al., the Applicant's disclosed baler or Lundahl, et al, either alone or in combination, teach or suggest the use of site-specific farming (e.g., GPS) in combination with "an agricultural bale accumulator" and "agricultural bales of crop material," as claimed. There is no basis in one or more of the cited references for combining the teachings of the cited references or modifying the four cited references to meet the claimed limitations.
- g) Nowhere does Hale, et al., Vellidus, et al., the Applicant's disclosed baler or Lundahl, et al, either alone or in combination, teach or suggest the problems or the problems' source, associated with the claimed "agricultural bale accumulator" and "agricultural bales of crop material." Therefore, teachings of the cited references, either alone or in combination, cannot provide a solution to the problems associated with the claimed "agricultural bale accumulator."
- h) The cited references, in combination, teach away from the claimed limitations of the "a controller adapted to generate a control signal to control an operation of the agricultural bale accumulator, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to receiving a location signal, representative of a location of the agricultural bale accumulator in the agricultural field, to cause the agricultural bale accumulator to perform the operation, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to the location of the agricultural bale accumulator in an agricultural field," because Hale, et al. and Vellidus, et al. each teach a different machine, a different way to control the machine, a different crop material, and a different way to transport the different crop material.
 - 3) Resolve the level of ordinary skill in the pertinent art.

The examiner has not resolved the level of ordinary skill in the pertinent art, as part of the Graham v. John Deere obviousness inquiry.

- 4) Evaluate objective evidence relevant to the issue of obviousness. Secondary evidence supporting non-obviousness includes the following.
- (a) The claimed invention provides long felt but unsolved needs for an improved agricultural bale accumulator advantageously providing increased bale accumulating capacity, and/or intelligent bale receipt, accumulation, and bale discharge operations to permit efficient, flexible, and desirable harvesting of hay and forage crop material.

(b) Others have failed to recognize a need for an improved agricultural bale accumulator, and have failed to provide the claimed invention for an agricultural bale accumulator despite of a length of time that agricultural bale accumulators and position location systems have been known.

b. All claim limitations must be considered.

The Applicant has amended claims 1 and 26 to claim at least: "a controller adapted to generate a control signal to control an operation of the agricultural bale accumulator, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to receiving a location signal, representative of a location of the agricultural bale accumulator in the agricultural field, to cause the agricultural bale accumulator to perform the operation, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to the location of the agricultural bale accumulator in an agricultural field."

The present amendment further defines the operation of the controller to distinguish the amended claims over the cited references. Therefore, the Applicant respectfully submits that the teachings Van Eecke, et al. and Hale, et al. and Vellidus, et al. in combination with the teaching of the Applicant's disclosed baler or Lundahl, et al is not the same as and/or does not render obvious the claimed invention, for at least the following reasons.

- 1) There is no basis in one or more of the four cited references for modifying one or more of the four cited references to meet the amended claimed limitations.
- 2) Further, dependent claims 2-25 and dependent claims 27-35 include additional limitations that further define the present invention to further distinguish over the cited references. For example, none of the disclosed references teach or suggest: counting one or more of the agricultural bales (claim 2), determining a size of one or more of the agricultural bales (claim 3), determining a moisture content of one or more of the agricultural bales (claim 4), determining a weight of one or more of the agricultural bales (claim 5), determining a location of one or more of the agricultural bales discharged in the agricultural field from the load bed (claim 6), determining a distance traveled in the agricultural field by the agricultural bale accumulator (claim 7), determining a contour of the agricultural field traveled by the agricultural bale accumulator (claim 8), and determining a size of the agricultural field traveled by the agricultural bale accumulator (claim 9), and determining a size of the agricultural field traveled by the agricultural bale accumulator (claim 10).

c. Articulated reasoning with some rational underpinning.

The applicant submits that the examiners statements of: "It would also have been obvious to replace the controls in the known baler system or in Lundahl with controls as claimed in view of the automatic controls in Vellius and Hale since it would involve only routine skill to replacement manual means with mechanical or automatic means which accomplish the same result. See in re Venner, 120 USPQ 192." and "To computerize these functions would be obvious to an artisan in view of the teachings of Hale and Vellidus." are conclusory statements that do not provide articulated reasoning with some rational underpinning to support the legal conclusion of obviousness, as required for a proper examination.

As Van Eecke, et al. already teaches: "In order to make the overall baling and bale hauling operation more efficient, it has already been proposed to provide a bale accumulator which is arranged to receive successive bales as they are discharged from a baler and to accumulate the bales into a group or parcel and then discharge the parcel to the ground." (col. 1, lines 23-34) For example, in Fig. 6, Van Eecke, et al. teaches an electronic/hydraulic control system for automatically and mechanically rotating a bale (e.g., 103), transferring a bale (e.g., 203), and discharging a bale (e.g., 310). Therefore, merely replacing manual functions with automatic functions has already been taught by Van Eecke, et al.

However, in conventional bale accumulators like Van Eecke, et al., automatic and mechanical functions are based on predetermined, fixed, and duplicated operations, as determined by an electronic controller or processor. Such predetermined, fixed, and duplicated operations generally include receiving fully ejected bales from the baler, sliding each bale over one bale position after it is received, and discharging all of the accumulated bales when the accumulator is full. In other words, conventional bale accumulators do the same sequence of steps over and over again in the same way no matter where the bale accumulator is located in the field. Therefore, not only are the examiner's statements conclusory, but such statements describe conventional bale accumulator operation.

The Examiner's proposed combination suggests more than merely replacing manual mean with mechanical means or automatic means to accomplish the same result for at least the following reasons:

1) There is no teaching or suggestion in Van Eecke, et al., the Applicant's conventional disclosure, or Lundahl, et al for: "a controller adapted to generate a control signal to control an operation of the agricultural bale accumulator, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to receiving a location signal, representative of a location of the agricultural bale accumulator in the agricultural field, to cause the agricultural bale accumulator to perform the operation, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows

the agricultural bale accumulator across the agricultural field, in response to the location of the agricultural bale accumulator in an agricultural field," as claimed.

- 2) There is no teaching or suggestion in either Vellidus, et al. or Hale et al for: "a controller adapted to generate a control signal to control an operation of the agricultural bale accumulator in response to receiving a location signal, representative of a location of the agricultural bale accumulator in an agricultural field, to cause the agricultural bale accumulator to perform the operation in response to the location of the agricultural bale accumulator in an agricultural field," as claimed.
- 3) Hale, et al. and Vellidus, et al. each teach a different machine, a different way to control the machine, a different crop material, a different way to harvest the different crop material, and a different way to transport the different crop material than what is disclosed in Van Eecke, et al., the Applicant's conventional disclosure, and/or Lundahl, et al. Therefore, the Examiner's assertion of accomplishing the "same result" is not physically possible.
- 4) The claimed combination does more than replace manual means with mechanical means or automatic means by providing new structure, function, and associated advantages not found in the cited prior art, either alone or in combination. In other words, the claimed combination provides an improved agricultural bale accumulator that advantageously provides intelligent and dynamic operations during bale receipt, accumulation, and bale discharge in response to field positions of the bale accumulator to permit efficient, flexible, and desirable harvesting of hay and forage crop material, which has not been possible or would have been very difficult to provide either manually or automatically with conventional bale accumulators, like Van Eecke, et al.
- 5) The Examiner's general assertion that the control systems of Vellidus, et al. and Hale can be combined with the agricultural bale accumulator disclosed in the Applicant's conventional disclosure or in Lundahl, et al to render the present invention obvious is overly broad and without adequate support, as required.
 - 6) As stated in recent Board of Patent Appeal cases, the applicant submits that:
- a) "There is no evidence or suggestion in [the cited references] of such a configuration" Ex Parte Katoh et al, Appeal 20071460, Decided May 29, 2007.
- b) "Further, the Examiner has not provided any evidence that it was conventional in the art to [provide the claimed invention]" Ex Parte Owlett, Appeal 20070644, Decided June 20, 2007
- c) "We determine that the Examiner has not provided a sufficient reason or explicit analysis of why the disclosures of the references should be combined." Ex Parte Erkey et al, Appeal 20071375, Decided May 11, 2007.
- d) "We find no suggestion to combine the teachings and suggestions of [the cited references], as advanced by the Examiner, except from using Appellants' invention as a template through hindsight.

7) The Board of Patent Appeals is also citing the KSR case to rebut prima facie cases of obviousness where "common sense" dictates that the claimed invention was not obvious, such as for the reasons described by the applicant herein.

For at least these reasons, the cited references, either alone or in combination, require more than "replacing manual means with mechanical or automatic means to accomplish the same results," as achieved by the present independent claims 1 and 26, for example, and as achieved by the present dependent claims.

The applicant hereby traverses the examiner's rejection based on the examiner's factual findings for failing to provide sufficient reason or explicit analysis of why the disclosures of the references should be combined.

For at least these reasons, the present independent claims 1 and 26, and corresponding dependent claims, are patentable over the cited references, either alone or in combination. Therefore, the applicant respectfully request that the examiner's rejection of claims 1-15 and 17-30, under 35 USC 103(a) as being unpatentable over the Applicant's disclosed baler, or cited Lundahl, et al in view of Van Eecke, et al. and Vellidus, et al. and Hale, et al., be withdrawn.

- 4. The applicant amended claims 27-35 to delete the claim language "the step(s) of."
- 5. The applicant adds new claims 61 and 62 for consideration by the examiner. The applicant submits that new claims 61 and 62 are allowable over the cited references for the same reasons as described above.
- 6. The examiner objected to claims 16 and 31-35 for being dependent on a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. The applicant appreciates the examiner's indication of allowable claims.
- 7. The applicant respectfully submits that claims 1-35 describe an improved agricultural bale accumulator advantageously providing increased bale accumulating capacity, and/or intelligent bale receipt, accumulation, and bale discharge operations to permit efficient, flexible, and desirable harvesting of hay and forage crop material. The features of the claimed agricultural bale accumulator and/or its associated advantages are not taught by, suggested by, or obvious in view of the references of record, either alone or in combination.
- 8. The applicant respectfully submits that no new matter has been added to the amended or new claims.

- 9. In view of the foregoing, Applicant submits that all pending claims are in condition for allowance. Applicant respectfully requests the reconsideration and reexamination of this application and the timely allowance of the pending claims. Should any issues remain unresolved, the Examiner is encouraged to telephone the undersigned at the phone number provided below.
- 10. The applicants submit herewith a petition under 37 CFR 1.136 for a three month extension of time with an associated fee. This request and amendment is filed on Monday, December 1, 2008, under the Certificate of Mailing pursuant to 37 CFR 1.18, within the three month shortened statutory period set for reply in the Final rejection dated May 29, 2008, plus a three month extension of time under 37 CFR 1.136(a), which expires Saturday, November 29, 2008, pursuant to MPEP 710.01(a).
- 11. Applicant respectfully requests that a timely Notice of Allowance be issued in this case.

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Respectfully submitted, Kaschke, et al.

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In the Claims

- (Currently Amended) An agricultural bale accumulator comprising:
- a hitch assembly adapted to mechanically couple a front side of the agricultural bale accumulator to a mating hitch assembly on a rear side of an agricultural baler to permit the agricultural bale accumulator to be towed by the agricultural baler across an agricultural field;
- a load bed adapted to receive, along a bale receiving axis, agricultural bales of crop material formed by and ejected from the agricultural baler, and adapted to accumulate at least one agricultural bale of the received agricultural bales on the load bed, and adapted to discharge at least one agricultural bale of the accumulated agricultural bales from the load bed to the agricultural field, wherein the agricultural bale accumulator receives, accumulates, and discharges the agricultural bales while the agricultural baler tows the agricultural bale accumulator across the agricultural field; and
- a controller adapted to generate a control signal to control an operation of the agricultural bale accumulator, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to receiving a location signal, representative of a location of the agricultural bale accumulator in an the agricultural field, to cause the agricultural bale accumulator to perform the operation, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to the location of the agricultural bale accumulator in an agricultural field.
- 2. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determine a count of one or more of the agricultural bales in response to receiving the location signal.
- 3. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determines a size of one or more of the agricultural bales in response to receiving the location signal.
- 4. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determines a moisture content of one or more of the agricultural bales in response to receiving the location signal.
- 5. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to

determines a weight of one or more of the agricultural bales in response to receiving the location signal.

- 6. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determines a location of one or more of the agricultural bales discharged in the agricultural field from the load bed in response to receiving the location signal.
- 7. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determines a distance traveled in the agricultural field by the agricultural bale accumulator in response to receiving the location signal.
- 8. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determines a path traveled in the agricultural field by the agricultural bale accumulator in response to receiving the location signal.
- 9. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determines a contour of the agricultural field traveled by the agricultural bale accumulator in response to receiving the location signal.
- 10. (Previously Amended) An agricultural bale accumulator, according to claim 1, wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to determines a size of the agricultural field traveled by the agricultural bale accumulator in response to receiving the location signal.
- 11. (Previously Amended) An agricultural bale accumulator, according to claim 1, further comprising:
 - a field position locator adapted to provide the location signal.
- 12. (Previously Amended) An agricultural bale accumulator, according to claim 11, wherein the field position locator further comprises:
 - a global positioning system (GPS) receiver.
- 13. (Previously Amended) An agricultural bale accumulator, according to claim 11,

wherein the agricultural bale accumulator is adapted to be towed by the agricultural baler, and wherein the agricultural baler is adapted to be towed by a tractor,

wherein the field position locator is carried by the agricultural bale accumulator, or the agricultural baler, or the tractor.

14. (Previously Amended) An agricultural bale accumulator, according to claim 1,

wherein the controller is adapted to generate the control signal to cause the agricultural bale accumulator to control the receipt and/or the accumulation of one or more of the agricultural bales on the load bed in response to receiving the location signal.

15. (Previously Amended) An agricultural bale accumulator, according to claim 14, further comprising:

a bale transfer module adapted to transfer at least one agricultural bale of the agricultural bales across the load bed along a bale transfer axis, horizontally transverse to the bale receiving axis, in response to receiving a bale transfer control signal generated by the controller.

16. (Previously Amended) An agricultural bale accumulator, according to claim 14, further comprising:

a bale stacking module adapted to form at least one stack of agricultural bales, including at least two agricultural bales of the agricultural bales, along a bale stacking axis, vertically transverse to the bale receiving axis, in response to receiving a bale stacking control signal generated by the controller.

17. (Previously Amended) An agricultural bale accumulator, according to claim 14, further comprising:

a bale arrangement control module adapted to arrange at least one agricultural bale of the agricultural bales on the load bed in response to receiving a bale arrangement control signal generated by the controller.

18. (Previously Amended) An agricultural bale accumulator, according to claim 14, further comprising:

a bale stabilization module adapted to stabilize at least one agricultural bale of the agricultural bales accumulated on the load bed in response to receiving a bale stabilization control signal generated by the controller.

19. (Previously Amended) An agricultural bale accumulator according to claim 14, further comprising:

- a bale advancement module adapted to advance at least one agricultural bale of the agricultural bales along the bale receiving axis onto the load bed in response to receiving a bale advancement control signal generated by the controller.
- 20. (Previously Amended) An agricultural bale accumulator, according to claim 1, further comprising:
- a bale discharge module adapted to discharge at least one agricultural bale of a agricultural bales accumulated on the load bed to a ground surface of the agricultural field in response to receiving a bale discharge control signal generated by the controller.
- 21. (Previously Amended) An agricultural bale accumulator, according to claim 20, further comprising:
- a permissive bale discharge module adapted to discharge at least one agricultural bale of the agricultural bales accumulated on the load bed from a bale receiving portion of the load bed to the ground surface in response to receiving a permissive bale discharge control signal generated by the controller.
- 22. (Previously Amended) An agricultural bale accumulator, according to claim 20, further comprising:
- a selective bale discharge module adapted to selectively discharge at least one agricultural bale of the agricultural bales accumulated on the load bed from the load bed to the ground surface in response to receiving a selective bale discharge control signal generated by the controller.
- 23. (Previously Amended) An agricultural bale accumulator, according to claim 20, further comprising:
- a bale advancement module adapted to advance at least one agricultural bale of the agricultural bales along the bale receiving axis in response to receiving a bale advancement control signal.
- 24. (Previously Amended) An agricultural bale accumulator, according to claim 20, further comprising:
- a bale speed control discharge module adapted to control a rate of speed at which at least one agricultural bale of the agricultural bales is discharged from the load bed to the ground surface in response to receiving a bale speed discharge control signal generated by the controller.
- 25. (Previously Amended) An agricultural bale accumulator, according to claim 1, further comprising:

a user interface module adapted to provide an interface between the agricultural bale accumulator and a user in response to receiving a user interface control signal generated by the controller.

26. (Currently Amended) A method for operating an agricultural bale accumulator, having a hitch assembly adapted to permit the agricultural bale accumulator to be towed by the agricultural baler across an agricultural field, comprising the steps of:

receiving on a load bed, along a bale receiving axis, agricultural bales of crop material formed by and ejected from the agricultural baler, and accumulating at least one agricultural bale of the received agricultural bales on the load bed, and discharging at least one agricultural bale of the accumulated agricultural bales from the load bed to the agricultural field, wherein the agricultural bale accumulator receives, accumulates, and discharges the agricultural bales while the agricultural baler tows the agricultural bale accumulator across the agricultural field;

receiving a location signal representative of a location of the agricultural bale accumulator in an agricultural field;

generating a control signal in response to receiving the location signal; and

controlling an operation of the agricultural bale accumulator, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural bale rows the agricultural bale accumulator across the agricultural field, in response to receiving the control signal to cause the agricultural bale accumulator to perform the operation, while the agricultural bale accumulator receives, accumulates, and/or discharges the agricultural bales and while the agricultural baler tows the agricultural bale accumulator across the agricultural field, in response to the location of the agricultural bale accumulator in an agricultural field.

27. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 26, wherein the step of receiving the location signal further comprises the steps of:

receiving a plurality of input signals transmitted by a plurality of global positioning satellites;

processing the plurality of input signals to provide the location signal.

28. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 26, wherein the step of receiving the location signal further comprises the steps of:

receiving an initialization signal indicative of a starting location of the agricultural bale accumulator in the agricultural field;

receiving an input signal from a compass;

determining a distance traveled by the agricultural bale accumulator in the agricultural field;

processing the initialization signal, the input signal from the compass, and the distance traveled by the agricultural bale accumulator in the agricultural field to determine the location of the agricultural bale accumulator in the field.

29. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 26, wherein the step of controlling the operation of the agricultural bale accumulator further comprises the step of:

receiving and/or accumulating one or more of the agricultural bales on the load bed in response to receiving the control signal.

30. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 26, wherein the step of controlling the operation of the agricultural bale accumulator further comprises the step of:

discharging at least one agricultural bale of the agricultural bales accumulated on the load bed to a ground surface of the agricultural field in response to receiving the control signal.

31. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 30, further comprising the steps of:

determining a present number of agricultural bales received and accumulated on the agricultural bale accumulator;

determining whether the present number of agricultural bales received and accumulated on the agricultural bale accumulator is equal to or less than a predetermined bale accumulating capacity of the agricultural bale accumulator;

when it is determined that the present number of agricultural bales received and accumulated on the agricultural bale accumulator is equal to the predetermined bale accumulating capacity of the agricultural bale accumulator, then perform the step of:

determining whether the agricultural bale accumulator is located in or has recently passed through at least one predetermined bale discharge zone located in the field in response to the location of the agricultural bale accumulator in the field;

when it is determined that the agricultural bale accumulator is located in or has recently passed through the at least one predetermined bale discharge zone, then perform the step of:

discharging the present number of agricultural bales received and accumulated on the agricultural bale accumulator to the ground surface in or near the at least one predetermined bale discharge zone;

when it is determined that the agricultural bale accumulator is not located in or has not recently passed through the at least one predetermined bale discharge zone, then perform the steps of:

discharging some of the present number of agricultural bales received and accumulated on the agricultural bale accumulator to the ground surface prior to reaching a next predetermined bale discharge zone to be reached by the agricultural bale accumulator as the agricultural bale accumulator travels a remaining distance from a present location of the agricultural bale accumulator in the field to the next predetermined bale discharge zone in response to the location of the agricultural bale accumulator in the accumulator field and a location of the next predetermined bale discharge zone; and

continuing with the step of receiving and accumulating the agricultural bales on the agricultural bale accumulator;

when it is determined that the present number of agricultural bales received and accumulated on the agricultural bale accumulator is less than the predetermined bale accumulating capacity of the agricultural bale accumulator, then perform the step of:

determining whether the agricultural bale accumulator is located in or has recently passed through the at least one predetermined bale discharge zone;

when it is determined that the agricultural bale accumulator is located in or has recently passed through the at least one predetermined bale discharge zone, then perform the step of:

discharging the present number of agricultural bales received and accumulated on the agricultural bale accumulator from the agricultural bale equipment to the ground surface in or near the at least one predetermined bale discharge zone;

when it is determined that the agricultural bale accumulator is not located in or has not recently passed through the at least one predetermined bale discharge zone, then continuing with the step of:

receiving and accumulating the agricultural bales on the agricultural bale accumulator.

32. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 31, further comprising the steps of:

determining a past distance traveled by the agricultural bale accumulator in the field while the present number of agricultural bales were received and accumulated on the agricultural bale accumulator; and

determining an average number of agricultural bales received and accumulated on the agricultural bale accumulator over the past distance traveled by the agricultural bale accumulator in the field in response to the present number of bales received and accumulated on the agricultural bale accumulator and the past distance traveled by the agricultural bale accumulator in the agricultural field.

33. (Currently Amended) A method for operating agricultural bale accumulator, according to claim 32, wherein the step of discharging some of the present number of agricultural bales received and accumulated on the agricultural bale accumulator to the ground surface prior to reaching the next predetermined bale discharge zone further comprises the steps of:

determining the remaining distance between the present location of the agricultural bale accumulator in the field and the next predetermined bale discharge zone in the field to be reached by the agricultural bale accumulator in response to the location of the agricultural bale accumulator in the field and the location of the next predetermined bale discharge zone in the field;

estimating a future number of bales to be received and accumulated on the agricultural bale equipment over the remaining distance between the present location of the agricultural bale accumulator in the field and the next predetermined bale discharge zone to be reached by the agricultural bale accumulator in response to the average number of agricultural bales received and accumulated on the agricultural bale accumulator over the past distance traveled by the agricultural bale accumulator in the field and the remaining distance between the present location of the agricultural bale accumulator in the field and the next predetermined bale discharge zone; and

discharging the estimated future number of agricultural bales to be received and accumulated on the agricultural bale accumulator from the load bed to a ground surface prior to reaching the next predetermined bale discharge zone as the agricultural bale accumulator travels the remaining distance from the present location of the agricultural bale accumulator in the field to the next predetermined bale discharge zone.

34. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 31, further comprising the step of:

determining whether a future number of agricultural bales that the agricultural bale accumulator can receive and accumulate before the agricultural bale accumulator reaches the next predetermined bale discharge zone is greater than a remaining number of bales that the agricultural bale accumulator can receive and accumulate before reaching the predetermined bale accumulating capacity of the agricultural bale accumulator in response to determining that the present number of bales received and accumulated on the agricultural bale accumulator is less than the predetermined bale accumulating capacity of the agricultural bale accumulator, but prior to the step of discharging the present number of bales received and accumulated on the agricultural bale accumulator from the agricultural bale accumulator to the ground surface in or near the at least one predetermined bale discharge zone.

35. (Currently Amended) A method for operating an agricultural bale accumulator, according to claim 34, wherein the step of determining whether the future number of agricultural bales that the agricultural bale accumulator can receive and accumulate before the agricultural bale accumulator

reaches the next predetermined bale discharge zone is greater than the remaining number of agricultural bales that the agricultural bale accumulator can receive and accumulate before reaching the predetermined bale accumulating capacity of the agricultural bale accumulator further comprises the steps of:

subtracting the present number of agricultural bales received and accumulated on the agricultural bale accumulator from the predetermined bale accumulating capacity of the agricultural bale accumulator to determine the remaining number of agricultural bales that the agricultural bale equipment can receive and accumulate before the predetermined bale accumulating capacity of the agricultural bale accumulator reaches its maximum limit;

determining a remaining distance between a present location of the agricultural bale accumulator in the field and the next predetermined bale discharge zone to be reached by the agricultural bale accumulator;

multiplying the average number of bales received and accumulated on the agricultural bale accumulator over the distance traveled by the agricultural bale accumulator in the field by the remaining distance between a present location of the agricultural bale accumulator in the field and the next predetermined bale discharge zone to be reached by the agricultural bale accumulator to determine the future number of bales that the agricultural bale accumulator can receive and accumulate before the agricultural bale accumulator reaches the next predetermined bale discharge zone;

when it is determined that the future number of agricultural bales that the agricultural bale accumulator can receive and accumulate before the agricultural bale accumulator reaches the next predetermined bale discharge zone is greater than a remaining number of agricultural bales that the agricultural bale accumulator can receive and accumulate before reaching the predetermined bale accumulating capacity of the agricultural bale accumulator, then perform the step of:

discharging the present number of agricultural bales received and accumulated on the agricultural bale accumulator from the agricultural bale accumulator to the ground surface in or near the at least one predetermined bale discharge zone;

when it is determined that the future number of bales that the agricultural bale accumulator can receive and accumulate before the agricultural bale accumulator reaches the next predetermined bale discharge zone is not greater than a remaining number of agricultural bales that the agricultural bale accumulator can receive and accumulate before reaching the predetermined bale accumulating capacity of the agricultural bale accumulator, then continuing to perform the step of:

receiving and accumulating the plurality of agricultural bales on the agricultural bale accumulator.

36-60 (Previously cancelled, without prejudice)

61. (New) An agricultural bale system for harvesting one or more agricultural bales in an agricultural field, the agricultural bale system comprising:

a location determining system adapted to generate field location information responsive to determining a plurality of locations of the agricultural bale system in the agricultural field as the agricultural bale system travels across the agricultural field;

a bale monitoring system adapted to generate bale information for the one or more agricultural bales responsive to monitoring the one or more agricultural bales harvested by the agricultural bale system in the agricultural field; and

a controller, coupled to the location determining system and the bale monitoring system, adapted to:

determine an anticipated time that the bale information would reach a desired value;

determine an anticipated location of the agricultural bale system in the agricultural field at the anticipated time in response to receiving the field location information; and

control the agricultural bale system in response to the anticipated location of the agricultural bale system in the agricultural field.

62. (New) An agricultural bale system, according to claim 61, comprising:

a bale discharge module adapted to discharge one or more agricultural bales from the agricultural bale system onto the agricultural field:

wherein the controller, coupled to the bale discharge module, is adapted to cause the bale discharge module to discharge the one or more agricultural bales onto the agricultural field in response to the anticipated location of the agricultural bale system in the agricultural field.